## AGFA 264-KFM

## MU 02015-US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : RAINER NEBOSIS

Serial No. : TO BE ASSIGNED

Filed : HEREWITH

For : METHOD AND APPARATUS FOR TEMPERING AN

OPTICAL MODULE

September 5, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR § 1.56 and §1.97(b), the applicants wish to call the attention of the Examiner to the references listed on the attached Form PTO-1449. Copies of these references are submitted herewith.

European Patent Application No. EP 1 227 352 A1

Patent Abstracts of Japan No. 08-122683 A

Patent Abstracts of Japan No. 08-220406 A

U.S. Patent No. 4,370,019

U.S. Patent No. 6,252,726

The aforementioned references were cited in the European Search Report of the corresponding European Application No. 02020046.5, a copy of which is enclosed.

Patent Abstracts of Japan No. 2000206627 A

The aforementioned reference is believed to be relevant, but not material, to the claims of this application.

Applicant respectfully requests that this prior art be considered during the examination of this application.

No action has issued in the above-identified application therefore, it is respectfully submitted that no fee is due at this time under 37 CFR §1.97(b).

Respectfully submitted,

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I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

SEPTEMBER 5, 2003

MILDE & HOFFBERG, LLP

Date SEPTEMBER 5, 2003

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Under the Paperwork Reduction Act of 1995, no persons are required  Substitute for form 1449/PTO |                        |       |           | Complete if Known      |                |  |  |
|--|------------------------|-------|-----------|------------------------|----------------|--|--|
|  |                        | •     |           | Application Number     |                |  |  |
| INI  |                        | M DIC | CLOSUBE   | Filing Date            |                |  |  |
| INFORMATION DISCLOSURE   |                        |       |           | First Named Inventor   | RAINER NEBOSIS |  |  |
| ST   | STATEMENT BY APPLICANT |       |           | Art Unit               |                |  |  |
| (Use as many sheets as necessary)  |                        |       | ecessary) | Examiner Name          |                |  |  |
| Sheet  | 1                      | of    | 1         | Attorney Docket Number | AGFA 264-KFM   |  |  |

|                       |  |  | U. S. PATEN                                      | DOCUMENTS  |   |
|-----------------------|--|--|--|--|---|
| Examiner<br>Initials* | Cite<br>No.¹                                     | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY                   | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | -  | US- 4 070 040  | 04.05.4000                                       | CLUDACAKI  |   |
|                       |  | <sup>US-</sup> 4,370,019                                 | 01-25-1983                                       | SHIRASAKI  |   |
|                       |  | <sup>US-</sup> 6,252,726                                 | 06-26-2001                                       | VERDIELL   |   |
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|-----------------------|--------------|---|------------------|--|---|----|
| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document   | Publication Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages | -6 |
|                       |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |  | Or Relevant Figures Appear                        |    |
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|                       |              | JP 08220406 A   | 08-30-1996       | IMAIZUMI HISAAKI                                   |   |    |
|                       |              | JP 2000206627 A   | 07-28-2000       | INOUE NARIHAYA                                     |   |    |
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|                       |              |   |                  |  |   |    |

| Examiner  | Date       |  |   |  |
|-----------|------------|--|---|--|
| Signature | Considered |  | _ |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional), ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language

Translation is a surfaced.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.